



"People
helping people
help
themselves"

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Indiana Division of Mental health and Addiction 1915(c) Psychiatric Residential Treatment Facilities (PRTF) Transition Waiver Operating Policy and Procedure Bulletin

Policy Bulletin: Introduction to the 1915(c) PRTF Transition Waiver
Date: July 17, 2012
Application to: All CA-PRTF Grant Providers and Wraparound Facilitators
Effective: Effective upon approval from the Centers for Medicare & Medicaid Services

Purpose:

The purpose of this bulletin is to advise Community Alternative to Psychiatric Residential Treatment Facilities (CA-PRTF) Demonstration Grant providers that effective September 30, 2012 the Grant program expires. Indiana has requested a §1915(c) Home and Community Based Services (HCBS) Psychiatric Residential Treatment Facilities (PRTF) Transition Waiver to be effective October 1, 2012. At that time, all enrolled participants, eligible providers, services, rates, policies and procedures will transition from the CA-PRTF Demonstration Grant to the 1915(c) PRTF Transition Waiver. The following explains the transition procedures and minor changes in the new 1915(c) PRTF Transition Waiver.

Transition Waiver Overview:

The 1915(c) PRTF Transition Waiver is authorized under Section 6063 of the Deficit Reduction Act (DRA) of 2005 that specifies: "*At the end of the demonstration period, the state may allow children enrolled in the demonstration project to continue receiving the Medicaid home and community-based waiver services provided under the demonstration; however, no new children could be added to the project.*"

The sole purpose of the 1915(c) PRTF Transition Waiver is to continue intensive, community-based wraparound services for children/youth enrolled in and receiving CA-PRTF Demonstration Grant services as of September 30, 2012. This Transition Waiver will continue to promote Indiana's behavioral health system transformation to provide intensive community-based care for children with high levels of need, and whose families/caretakers and community would be able and willing to safely maintain the youth in a community-based setting, with adequate and appropriate interventions and support.

Transition Waiver Procedures:

The participant and provider eligibility, services, rates, policies and procedures for the PRTF Transition Waiver are identical to those under the CA-PRTF Demonstration Grant, with the following exceptions:

- 1. Population served:** The population served under the 1915(c) PRTF Transition Waiver will consist of participants enrolled and receiving services under the CA-PRTF Demonstration Grant as of September 30, 2012. Additional participants will not be enrolled into this program.
- 2. Transition Plan (As it pertains to participants):** If a participant no longer meets eligibility criteria for 1915(c) PRTF Transition Waiver services, a service transition plan must be developed and discussed in the family team meeting, as well as documented in the team meeting minutes. Behavioral health needs will continue to be met by the flexible community-based services that may

be provided under the Medicaid Rehabilitation Option (MRO) and/or Medicaid Clinic Option (MCO) for Medicaid recipients. For additional information, refer to *Policy on Participant Transition from Services*.

3. ***Interruption of Services:*** When a participant temporarily leaves the 1915(c) PRTF Transition Waiver program (e.g., requires admission in a PRTF for treatment), the Transition Waiver slot will be retained for their return to 1915(c) PRTF Transition Waiver services during that same federal fiscal year (October 1 through September 30). However, if the individual does not return by the end of that federal fiscal year, his/her waiver slot will expire and the individual will not be eligible to reapply in future federal fiscal years. See *Policy on Participant Termination, Interrupt and Re-Start Status*.
4. ***Provider Status:*** All CA-PRTF Demonstration Grant providers in “good standing” will automatically be listed as a 1915(c) PRTF Transition Waiver provider. Refer to *Policy on Provider Transition from CA-PRTF Demonstration Grant to 1915(c) PRTF Transition Waiver*.
5. ***Transition Waiver Services:*** The 1915(c) PRTF Transition Waiver services and rates are the same as the ones provided under the CA-PRTF Demonstration Grant. The only change is the clarification of service exclusion for Respite Care. Exclusion is: Respite Care may not be provided while a parent/guardian attends school.
6. ***Waiver Service Area:*** This Transition Waiver provides services to participants in counties without an Access Site, as long as there is an approved 1915(c) PRTF Transition Waiver service provider in the area that has the capacity to provide the needed services.
7. ***Children’s Mental Health Advisory Board:*** The Quality Improvement Committee (QIC) and the CA-PRTF Demonstration Grant Advisory Board will combine into one entity as of July 24, 2012. The new name of the advisory board will be the Children’s Mental Health Advisory Board. Refer to *Policy for the Children’s Mental Health Advisory Board*.
8. ***Grievance/Complaint Options for Participants/Families:*** For participants and families wishing to communicate a grievance or complaint to DMHA, a link to the DMHA website is provided, in lieu of the Consumer Service Line. Website link is:
<http://myshare.in.gov/FSSA/dmha/caprtf/FamilyConsumers>. For additional information about grievances or complaints, refer to the *Policy on Grievances and Complaints*.

Provider Input:

Please respond by August 31, 2012 with public input or concerns regarding the 1915(c) PRTF Transition Waiver to: CA-PRTF@fssa.IN.gov.

The information provided in this bulletin anticipates the full approval of Indiana’s 1915(c) PRTF Transition Waiver application from the Centers for Medicare & Medicaid Services (CMS). It is projected the 1915(c) Transition Waiver will become effective, as planned, on October 1, 2012.